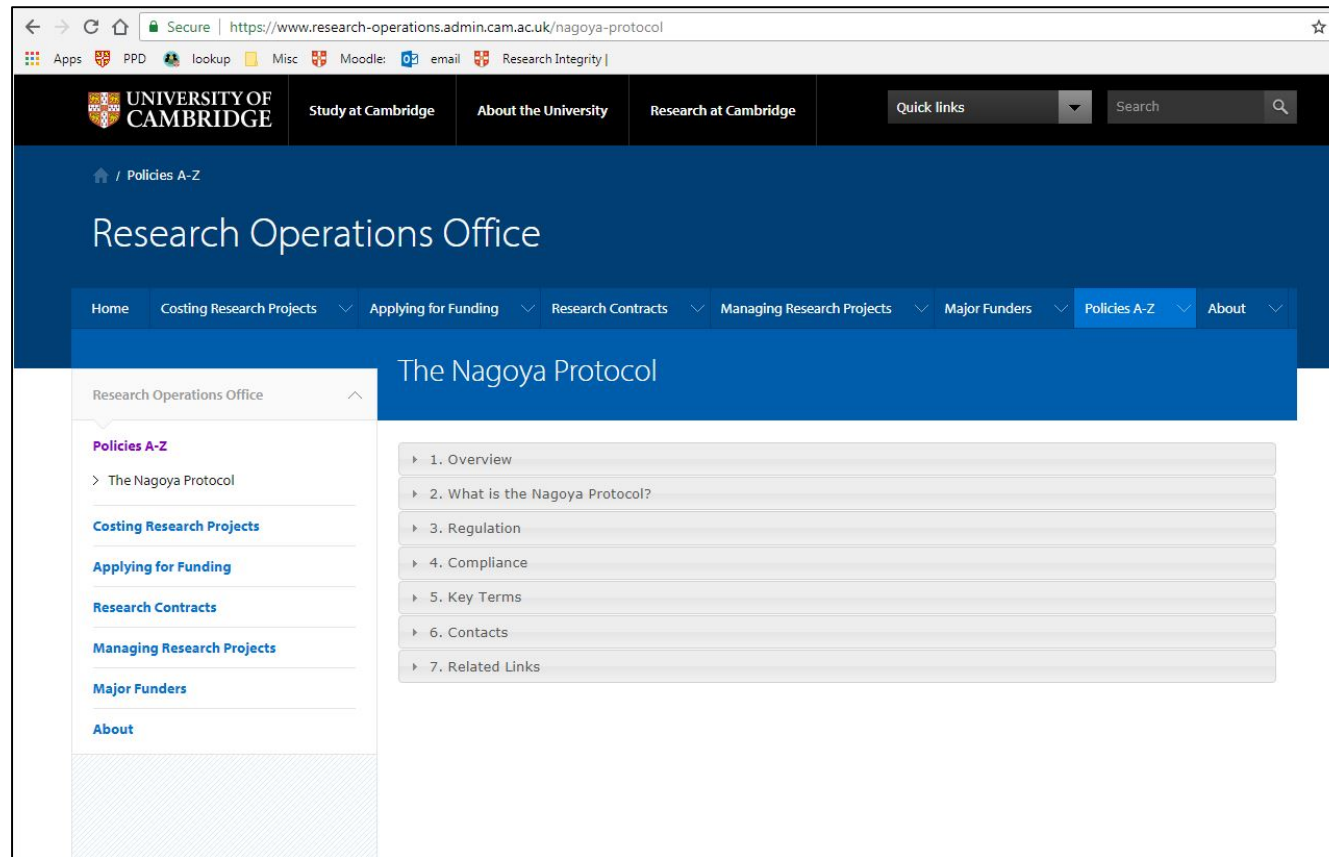




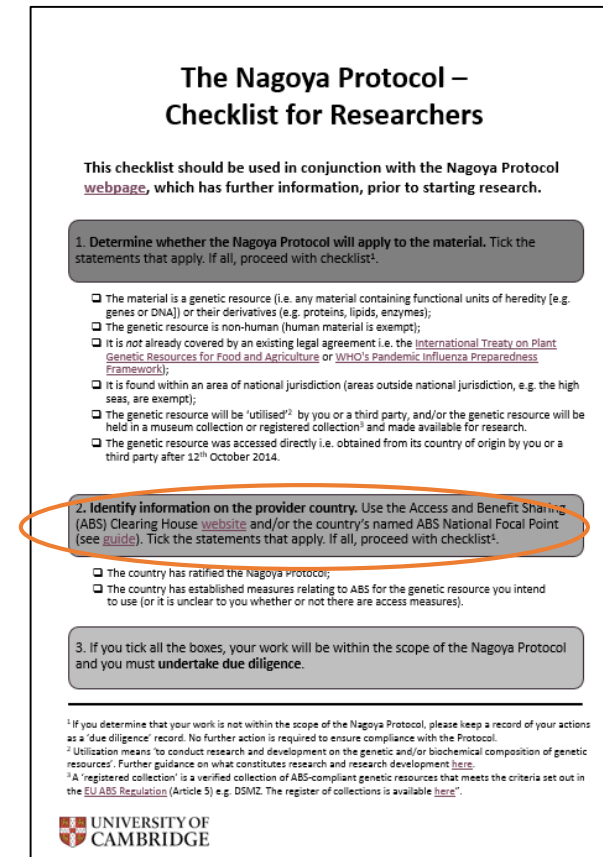
# The Access Benefit Sharing (ABS) Clearing House – A Guide for Researchers

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# Use this guide in conjunction with the Nagoya Protocol webpage and checklist for researchers



[The Nagoya Protocol Webpage](#)



[Checklist for Researchers](#)



Click this icon in the upper left corner of these slides to see additional notes



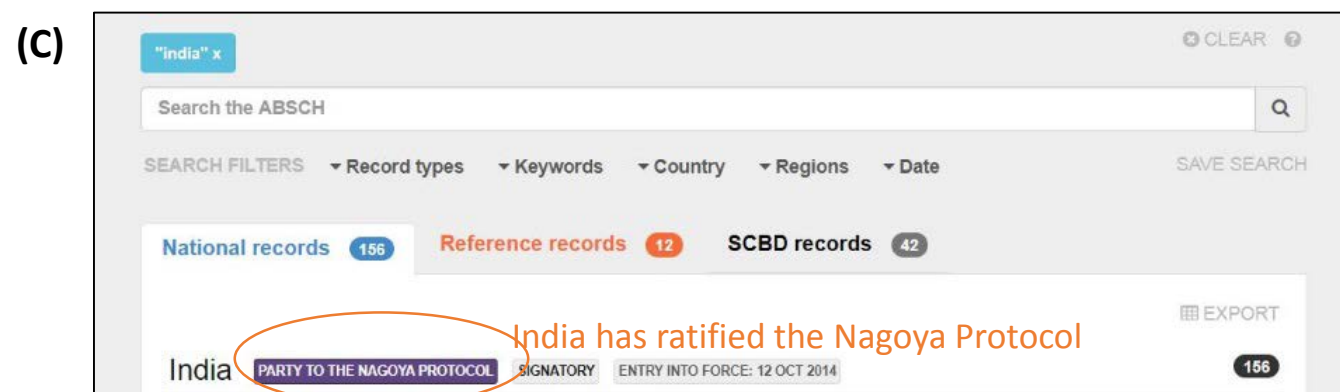
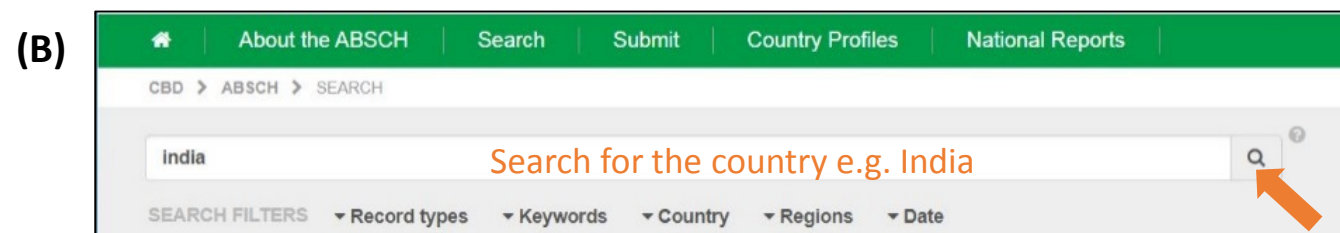
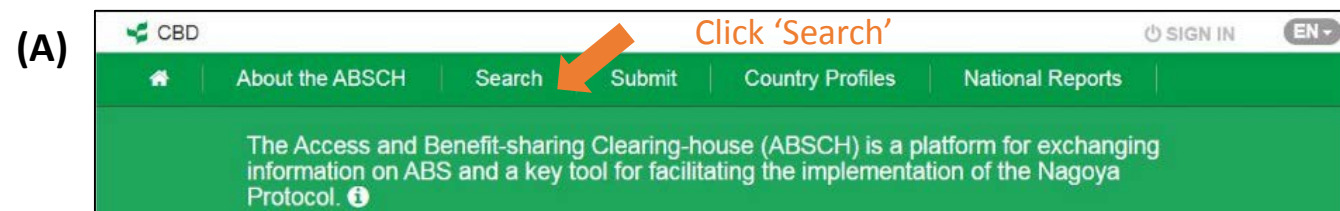
# 1. The ABS Clearing House Website



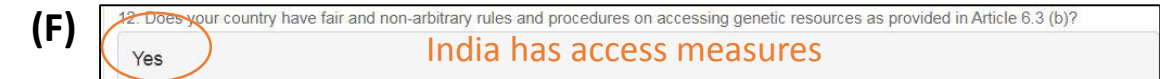
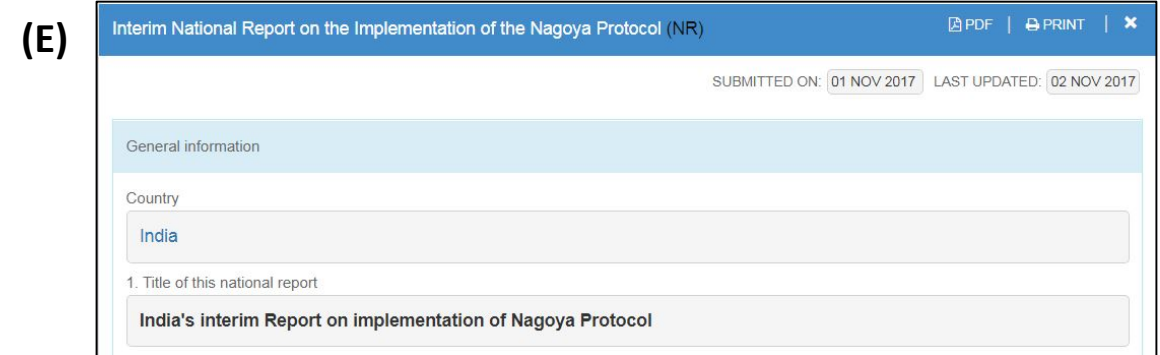
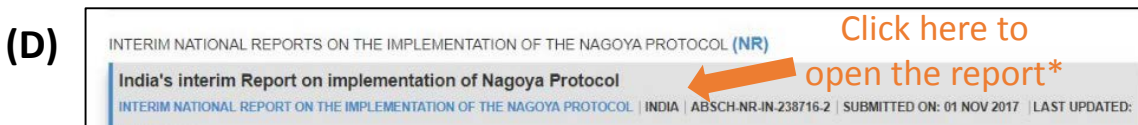
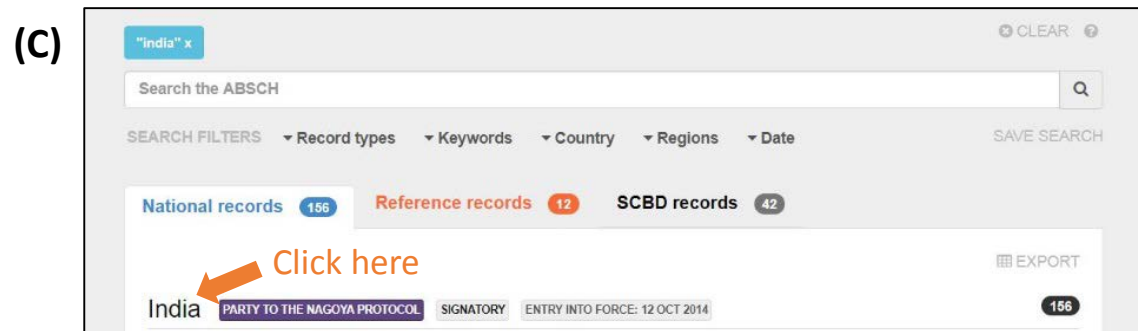
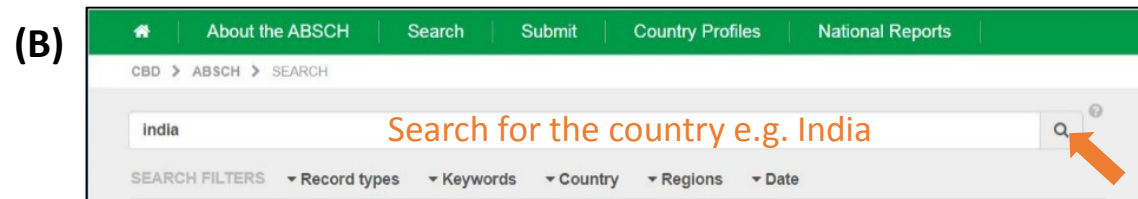
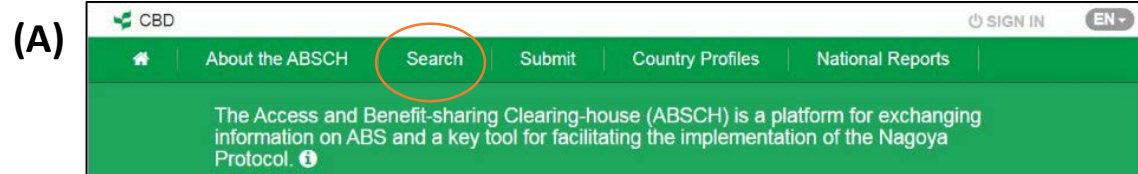
<https://absch.cbd.int/>

- Facilitates implementation of the **Nagoya Protocol**
- **Information exchange:** connection between users and providers
- **Parties** provide contact information & the requirements for accessing genetic resources/ traditional knowledge
- **Users** can then find information on how to access these resources as part of their **due diligence process**.

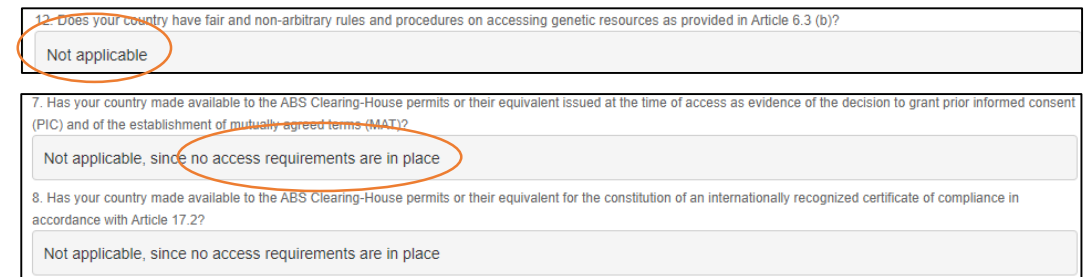
### 3. Has the country ratified the Nagoya Protocol?



## 4. Has the country established access measures?



(G) If the response to Q12 is 'not applicable' (e.g. Japan, Pakistan), it is advised to check the responses to Q7 and Q8 to confirm that there are no access measures



\* If the interim report is not available, it will be necessary to find this information on the country profile under 'Legislative, administrative or policy measures on access and benefit sharing' – please see 'Step 5. What are the access measures? (Method 1)' for further information on how to do this.

# Checklist for researchers – Interlude

**The Nagoya Protocol –  
Checklist for Researchers**

This checklist should be used in conjunction with the Nagoya Protocol [webpage](#), which has further information, prior to starting research.

**1. Determine whether the Nagoya Protocol will apply to the material.** Tick the statements that apply. If all, proceed with checklist<sup>1</sup>.


- ☐ The material is a genetic resource (i.e. any material containing functional units of heredity (e.g. genes or DNA)) or their derivatives (e.g. proteins, lipids, enzymes);
- ☐ The genetic resource is non-human (human material is exempt);
- ☐ It is not already covered by an existing legal agreement i.e. the [International Treaty on Plant Genetic Resources for Food and Agriculture](#) or [WHO's Pandemic Influenza Preparedness Framework](#);
- ☐ It is found within an area of national jurisdiction (areas outside national jurisdiction, e.g. the high seas, are exempt);
- ☐ The genetic resource will be 'utilised'<sup>2</sup> by you or a third party, and/or the genetic resource will be held in a museum collection or registered collection<sup>3</sup> and made available for research.
- ☐ The genetic resource was accessed directly i.e. obtained from its country of origin by you or a third party after 12<sup>th</sup> October 2014.

**2. Identify information on the provider country.** Use the Access and Benefit Sharing (ABS) Clearing House [website](#) and/or the country's named ABS National Focal Point (see [guide](#)). Tick the statements that apply. If all, proceed with checklist<sup>1</sup>.

- ☐ The country has ratified the Nagoya Protocol;
- ☐ The country has established measures relating to ABS for the genetic resource you intend to use (or it is unclear to you whether or not there are access measures).

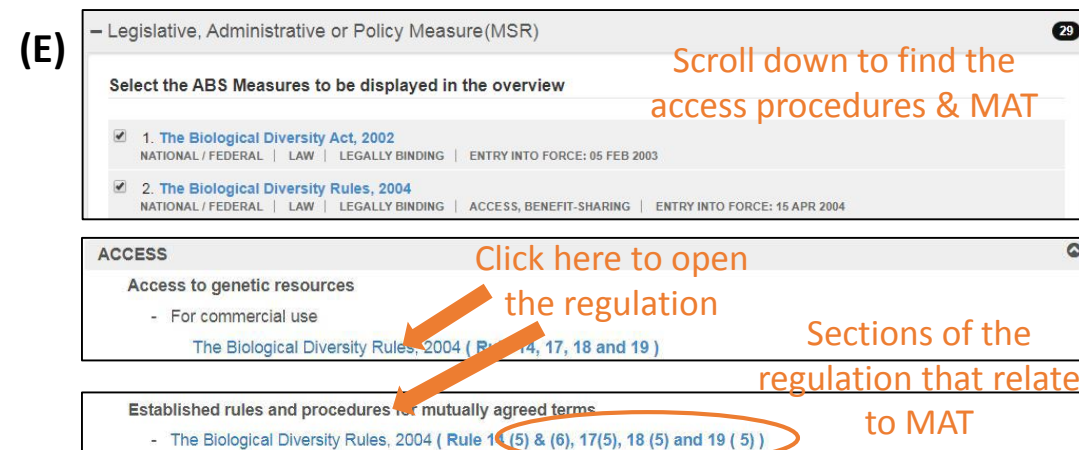
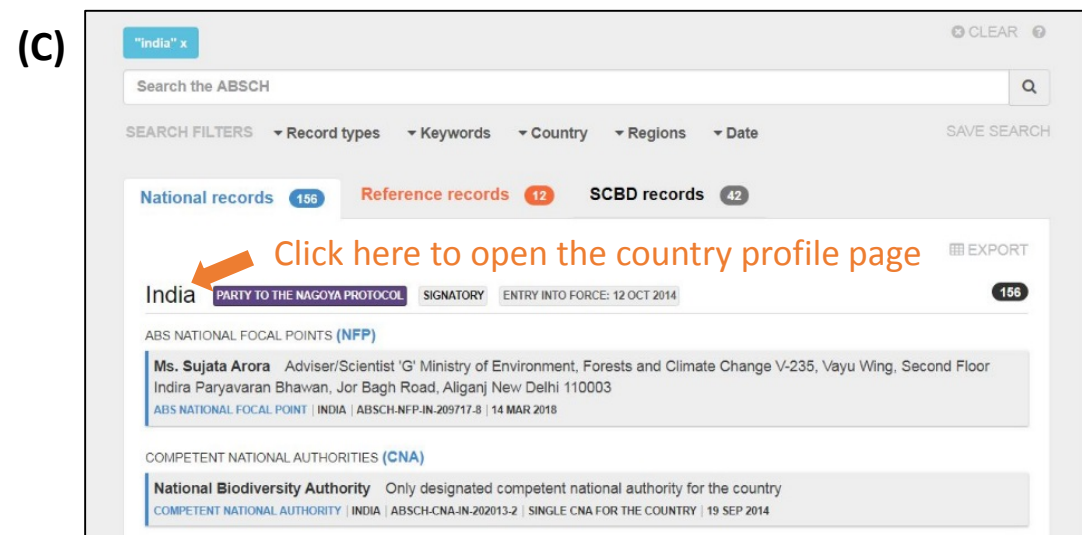
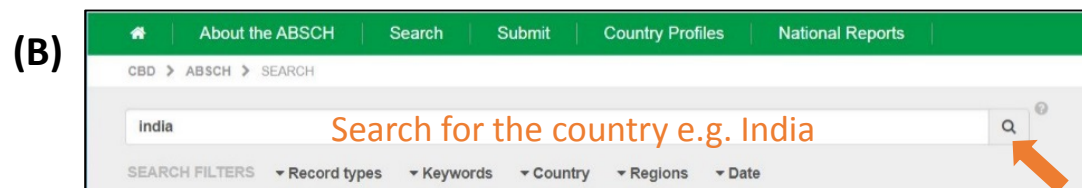
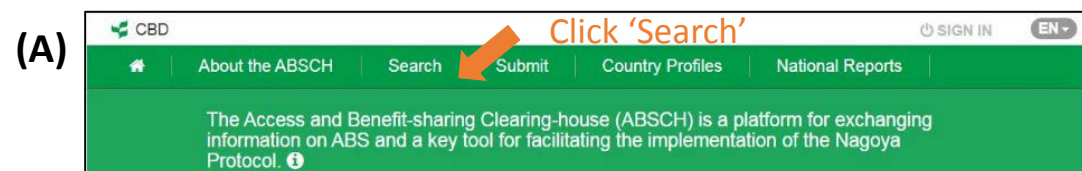
**3. If you tick all the boxes, your work will be within the scope of the Nagoya Protocol and you must undertake due diligence.**

<sup>1</sup> If you determine that your work is not within the scope of the Nagoya Protocol, please keep a record of your actions as a 'due diligence' record. No further action is required to ensure compliance with the Protocol.  
<sup>2</sup> Utilization means 'to conduct research and development on the genetic and/or biochemical composition of genetic resources'. Further guidance on what constitutes research and research development [here](#).  
<sup>3</sup> A 'registered collection' is a verified collection of ABS-compliant genetic resources that meets the criteria set out in the [EU ABS Regulation](#) (Article 3) e.g. DSMZ. The register of collections is available [here](#).

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- You have now completed stage 2 of the **'Nagoya Protocol Checklist for Researchers'**.
- If you have determined your work is within the scope of the Nagoya Protocol (or are unsure), please continue onwards to **stage 3**.
- **Proceed with this presentation** to determine whether the country has **PIC** and **MAT** conditions in its access measures and how to contact the **National Focal Point**.

## 5. What are the access measures? (Method 1)



(F) If unclear, use method 2 to determine what access measures that are in place. If still unclear, contact the National Focal Point to request information.



# 6. What are the access measures? (Method 2)

(A)

Search for the country e.g. India

(B)

Click here

(C)

Click here to open the report

(D)

Scroll down to section 11

(E)

PIC information

(F)

MAT information

(G)

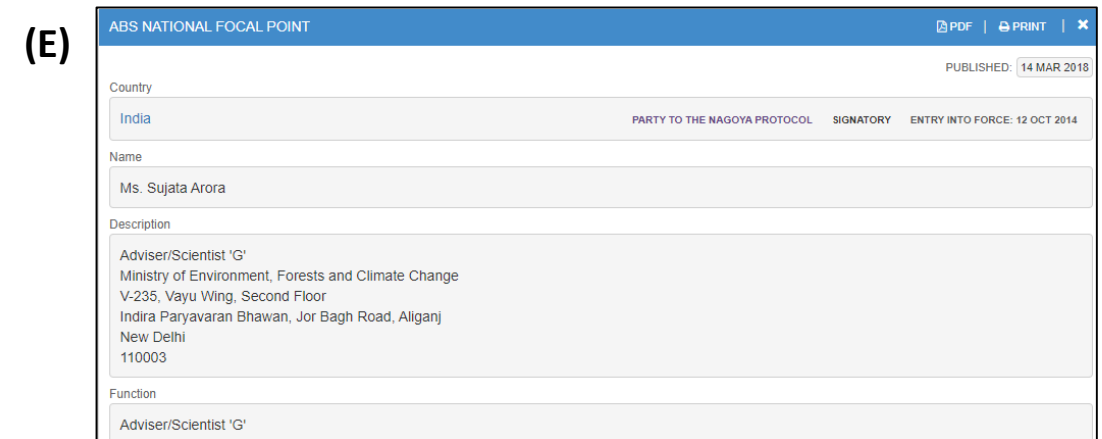
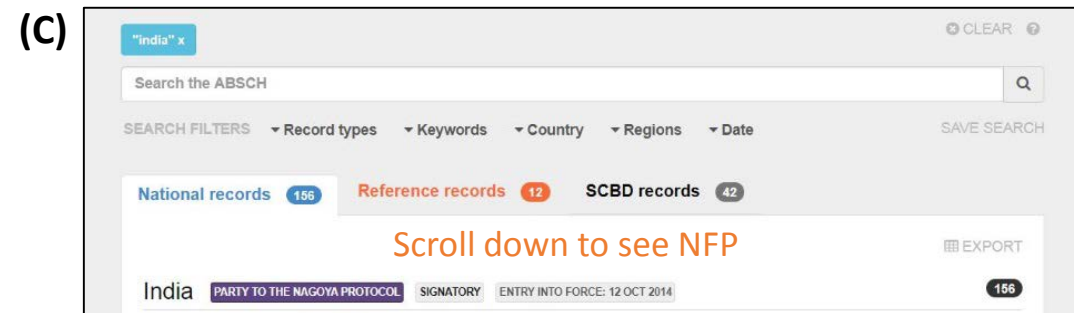
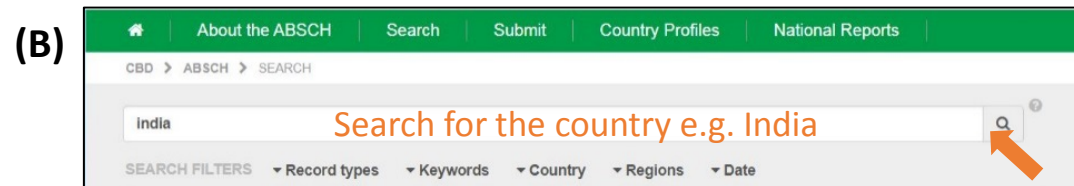
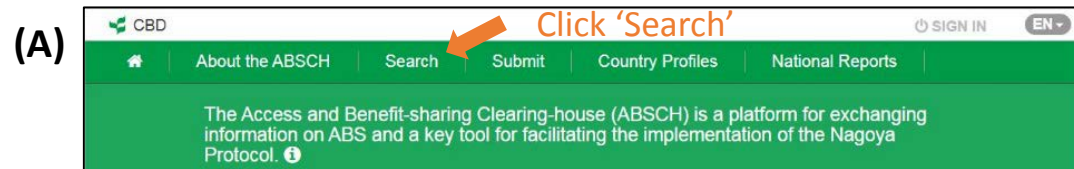
Procedures

(H)

Permits

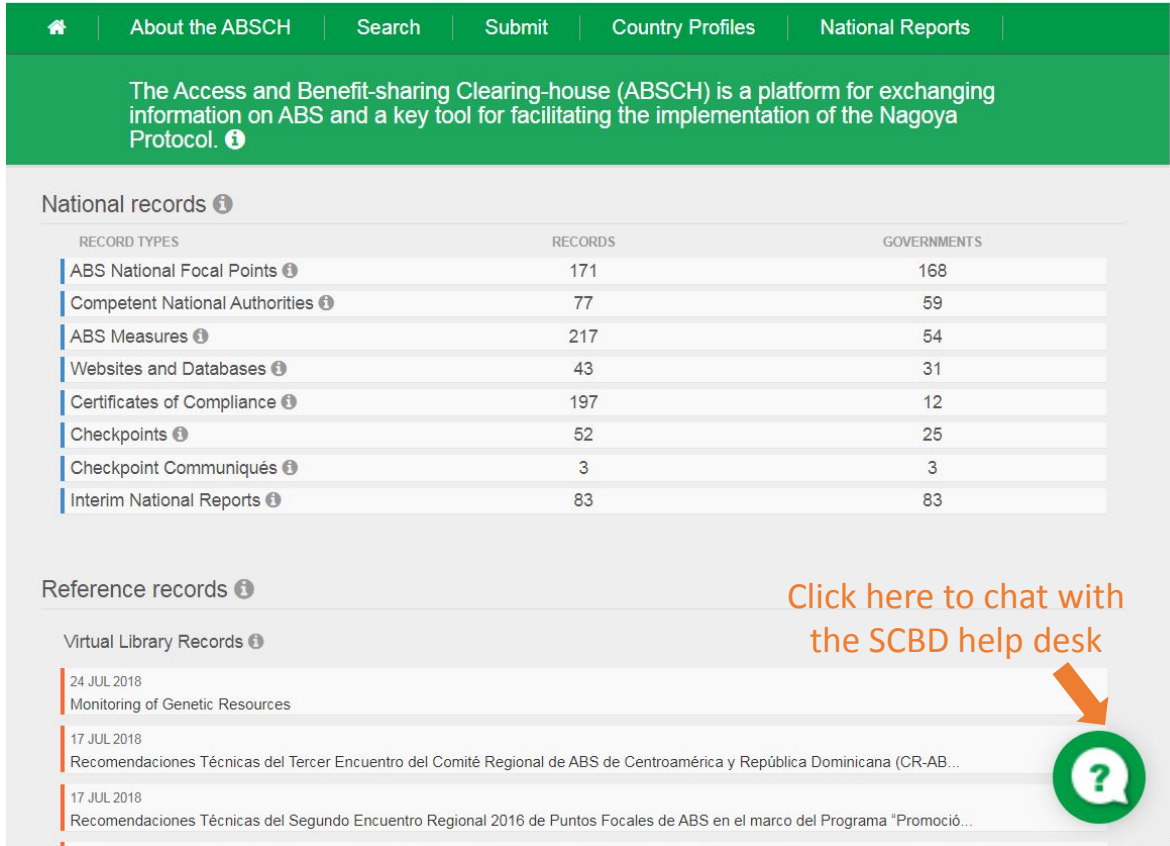


# 7. What is the country's ABS National Focal Point (NFP)?



(F) You can contact the NFP to request further information on legislation or if you need further advice.

## 8. Further Help and Support



The Access and Benefit-sharing Clearing-house (ABSCH) is a platform for exchanging information on ABS and a key tool for facilitating the implementation of the Nagoya Protocol. ⓘ

National records ⓘ


RECORD TYPES	RECORDS	GOVERNMENTS
ABS National Focal Points ⓘ	171	168
Competent National Authorities ⓘ	77	59
ABS Measures ⓘ	217	54
Websites and Databases ⓘ	43	31
Certificates of Compliance ⓘ	197	12
Checkpoints ⓘ	52	25
Checkpoint Communiqués ⓘ	3	3
Interim National Reports ⓘ	83	83

Reference records ⓘ

Virtual Library Records ⓘ

- 24 JUL 2018  
Monitoring of Genetic Resources
- 17 JUL 2018  
Recomendaciones Técnicas del Tercer Encuentro del Comité Regional de ABS de Centroamérica y República Dominicana (CR-AB...
- 17 JUL 2018  
Recomendaciones Técnicas del Segundo Encuentro Regional 2016 de Puntos Focales de ABS en el marco del Programa "Promoció...

Click here to chat with the SCBD help desk



If you need more help you may wish:

- Visit the **Nagoya Protocol webpage**;
- Refer to the **Checklist for Researchers**
- Contact your departmental **Nagoya Protocol Contact**;
- Contact the **National Focal Point** of the provider country;
- Use the **live chat** function on the ABSCH website;
- **E-mail ABSCH** at: [absch@cbd.int](mailto:absch@cbd.int)